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02/11/02

J1062 U.S. PTO

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 220032001301

First Inventor Peter G. SCHULTZ

Title THE COMBINATORIAL SYNTHESIS OF NOVEL MATERIALS

Express Mail Label No. EL779829966US

J1060 U.S. PTO

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Express Mail Label No.: EL779829966US

Date of Deposit: February 11, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Tamara Alcaraz

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g. PTO/SB/17)  
*(Submit an original, and a duplicate for fee processing)*
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 71]
  - Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 USC 113) [Total Sheets 10]
- Oath or Declaration [Total Pages 1]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- Application Data Sheet. See 37 CFR 1.76 (3 Pages)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s)) (8 Pages)
10.  37 CFR 3.73(b) Statement  
*(where there is an assignee)*
 Power of Attorney
11.  English Translation document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449
  Copies of IDS Citations
13.  Preliminary Amendment (14 Pages)
14.  Return Receipt Postcard (MPEP 503)  
*Should be specifically itemized*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No: 09/127,195 filed July 31, 1998

Prior application information.

Examiner M. Garcia

Group / Art Unit: 1627

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Labelor  Correspondence address below

25226

PATENT TRADEMARK OFFICE

(Insert Customer No. or Attach bar code label here)

|                   |                             |           |                                   |          |                |
|-------------------|-----------------------------|-----------|-----------------------------------|----------|----------------|
| Name              | Morrison & Foerster LLP     |           |                                   |          |                |
| Address           | 755 Page Mill Road          |           |                                   |          |                |
| City              | Palo Alto                   | State     | California                        | Zip Code | 94304-1018     |
| Country           | U.S.A.                      | Telephone | (650) 813-5840                    | Fax      | (650) 494-0836 |
| Name (Print/Type) | Madeline L. Johnston        |           | Registration No. (Attorney/Agent) | 36,174   |                |
| Signature         | <i>Madeline L. Johnston</i> |           | Date February 11, 2002            |          |                |

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# FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

## TOTAL AMOUNT OF PAYMENT

(\$ 3,018.00)

| Complete if Known    |                  |
|----------------------|------------------|
| Application Number   | To Be Assigned   |
| Filing Date          | Herewith         |
| First Named Inventor | Peter G. SCHULTZ |
| Examiner Name        | To Be Assigned   |
| Group Art Unit       | To Be Assigned   |

|                         |               |                     |              |
|-------------------------|---------------|---------------------|--------------|
| TOTAL AMOUNT OF PAYMENT | (\$ 3,018.00) | Attorney Docket No. | 220032001301 |
|-------------------------|---------------|---------------------|--------------|

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-1952**Deposit Account Name **Morrison & Foerster LLP** Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

 Check  Credit Card  Money Order  Other

## FEE CALCULATION (continued)

## 1. BASIC FILING FEE

## 3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid   |
|----------------|-----------------|----------------|-----------------|------------------------|------------|
| 101            | 740             | 201            | 370             | Utility filing fee     | <b>740</b> |
| 106            | 330             | 206            | 165             | Design filing fee      |            |
| 107            | 510             | 207            | 255             | Plant filing fee       |            |
| 108            | 740             | 208            | 370             | Reissue filing fee     |            |
| 114            | 160             | 214            | 80              | Provisional filing fee |            |

SUBTOTAL (1) **(\$ 740.00)**

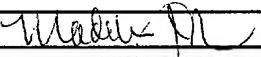
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|-----------------|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  |          |                 |          |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                       |          |                 |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |                 |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for ex parte reexamination                              |          |                 |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                       |          |                 |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                          |          |                 |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                       |          |                 |          |
| 116            | 400             | 216            | 200             | Extension for reply within second month                                      |          |                 |          |
| 117            | 920             | 217            | 460             | Extension for reply within third month                                       |          |                 |          |
| 118            | 1,440           | 218            | 720             | Extension for reply within fourth month                                      |          |                 |          |
| 128            | 1,960           | 228            | 980             | Extension for reply within fifth month                                       |          |                 |          |
| 119            | 320             | 219            | 160             | Notice of Appeal   |          |                 |          |
| 120            | 320             | 220            | 160             | Filing a brief in support of an appeal                                       |          |                 |          |
| 121            | 280             | 221            | 140             | Request for oral hearing   |          |                 |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                                |          |                 |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |                 |          |
| 141            | 1,280           | 241            | 640             | Petition to revive - unintentional   |          |                 |          |
| 142            | 1,280           | 242            | 640             | Utility issue fee (or reissue)   |          |                 |          |
| 143            | 460             | 243            | 230             | Design issue fee   |          |                 |          |
| 144            | 620             | 244            | 310             | Plant issue fee  |          |                 |          |
| 122            | 130             | 122            | 130             | Petitions of the Commissioner  |          |                 |          |
| 123            | 50              | 123            | 50              | Petitions related to provisional applications                                |          |                 |          |
| 126            | 180             | 126            | 180             | Submission of Information Disclosure Stmt                                    |          |                 |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per properties (times number of properties) |          |                 |          |
| 146            | 740             | 246            | 370             | Filing a submission after final rejection (37 CFR § 1.129(a))                |          |                 |          |
| 149            | 740             | 249            | 370             | For each additional invention to be examined (37 CFR § 1.129(b))             |          |                 |          |
| 179            | 740             | 279            | 370             | Request for Continued Examination (RCE)                                      |          |                 |          |
| 169            | 900             | 169            | 900             | Request for expedited examination of a design application                    |          |                 |          |

Other fee (specify) \_\_\_\_\_

SUBTOTAL (2) **(\$ 2,278.00)**\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) **(\$ 0)**

## SUBMITTED BY

Complete (if applicable)

|                   |   |                                   |        |           |                   |
|-------------------|---|-----------------------------------|--------|-----------|-------------------|
| Name (Print/Type) | Madeline I. Johnston  | Registration No. (Attorney/Agent) | 36,174 | Telephone | (650) 813-5840    |
| Signature         |  |                                   |        | Date      | February 11, 2002 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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